7 Adopt, Implement or Upgrade (AIU) and Meaningful Use (MU)

The goal of the Kansas Medicaid EHR Incentive Program is to promote the adoption, implementation, upgrade, and meaningful use of certified EHRs. Hospitals are required to attest to the status of their current certified EHR adoption phase.

- **Adopted** – acquired, purchased or secured access to certified EHR technology.

- **Implemented** – installed or commenced utilization of certified EHR technology capable of meeting meaningful use requirements.

- **Upgraded** – expanded the available functionality of certified EHR technology capable of meeting meaningful use requirements at the practice site, including staffing maintenance, and training, or upgrade from existing EHR technology to a federally-certified EHR technology.

- **Meaningful User** – eligible hospitals can attest to meeting Stage 1 meaningful use requirements as set forth by CMS. Only hospitals that are: 1) Dually eligible for both the Medicare and Medicaid EHR Incentive Programs and 2) Attesting to meaningful use under Medicare in 2011 should attest to meaningful use in MAPIR. If you do not meet both of these requirements, please select adopt, implement, or upgrade.

The CMS Final Rule describes multiple stages for determining meaningful use (MU), each with its own separate measurements and criteria. The stages represent a graduated approach to achieving the full potential of meaningful use. Only Stage 1 was described in detail in the Final Rule:

- **Stage 1 Criteria** will be implemented in 2011 for Medicare and 2012 for the Kansas Medicaid Program except as described above. Stage 1 requires providers to capture health information in a structured format, using the information to track key clinical conditions (for care coordination purposes), implementing clinical decision support tools to facilitate disease and medication management and using EHRs to engage patients and families and reporting clinical quality measures and public health information.
The criteria for Stages 2, 3, and beyond will be described in future rulemaking. Stage 1 includes a series of core and menu measures, (see Appendix for “Meaningful Use Criteria: Core, Menu, and Clinical Quality Measures”).

KDHE recommends submission of the following for documentation for attestation of Adopt, Implement or Upgrade criteria:

- **Adopt**
  - KDHE recommends that hospitals provide one of the following documents to show the system number that was used for certification at the Office of National Coordinator (ONC), along with the model and serial numbers of the system:
    - Receipt, lease, legal document, vendor contract, cancelled checks, or user or license agreements.
  - Model and serial numbers of EHR systems must match proofs of purchase, contracts or lease documents. If this documentation is not provided at the time of application, KDHE may request it at a later date.

- **Implement**
  - KDHE recommends that hospitals provide one of the following documents to show the system number that was used for certification at the ONC, along with the model and serial numbers of the system:
    - Receipt, lease, legal document, vendor contract, cancelled checks, or user or license agreements.
  - Model and serial numbers of EHR systems must match proofs of purchase, contracts or lease documents. If this documentation is not provided at the time of application, KDHE may request it at a later date.
  - KDHE recommends that hospitals provide applicable input and output examples from the EHR system to show how the system has been implemented.
    - Input example: user interfaces that mirror the once used hard file/paper forms
    - Output example: patient record templates, sample test-results, decision-support alerts
  - If training sessions on the system took place, please provide descriptions of the sessions such as the subjects, dates, times and level of participation.
• Upgrade
  o KDHE recommends that hospitals provide one of the following documents to show the system number that was used for certification at the ONC, along with the model and serial numbers of the system:
    ▪ Receipt, lease, legal document, vendor contract, cancelled checks, or user or license agreements.
  o Model and serial numbers of EHR systems must match proofs of purchase, contracts or lease documents. If this documentation is not provided at the time of application, KDHE may request it at a later date.